

**TRANSMITTAL LETTER
(General - Patent Pending)**

COPY OF PAPERS
ORIGINALLY FILED

Docket No.
H&U109

In Re Application Of: Ziegs, Carsten

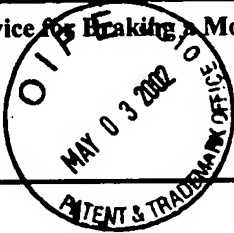
Serial No.
10/068,469

Filing Date
February 6, 2002

Examiner
not known

Group Art Unit
not known

Title: Device for Breaking a Motor Shaft



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Transmitted herewith is:

(1) Response to Notice to File Missing Parts of Application (small entity); (2) Declaration, signed; (3) Copy of Notice to File Missing Parts; (4) Assignment, signed; (5) Assignment recordal form; (6) Submission letter for certified copy of priority document; (7) Certified copy of priority document; (8) postcard receipt

in the above identified application.

- ☐ No additional fee is required.
- ☐ A check in the amount of _____ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 500867 as described below. A duplicate copy of this sheet is enclosed.
- ☒ Charge the amount of \$475.00
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.

Signature

Dated: April 23, 2002

Marlana Titus, Reg. No. 35,843
Nash & Titus, LLC
3415 Brookeville Road
Suite 1000
Brookeville, MD 20833
(301) 924-9600

I certify that this document and fee is being deposited on April 23, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Marlana Titus

Typed or Printed Name of Person Mailing Correspondence

CC:

**Response T Notice T File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
H&U109

In Re Application Of: **Ziegs, Carsten**

**COPY OF PAPERS
ORIGINALLY FILED**

Serial No.
10/068,469

Filing Date
February 6, 2002

Examiner
not known

Group Art Unit
not known

Invention: **Device for Braking a Motor Shaft**



TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
March 4, 2002
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☒ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☐ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☐ _____ verified small entity declaration(s)
 - ☐ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☒ Other (list):

certified copy of priority document; letter submitting certified copy of priority document; assignment; assignment recordal form

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
H&U109

In Re Application Of: Ziegs, Carsten

COPY OF PAPERS
ORIGINALLY FILED

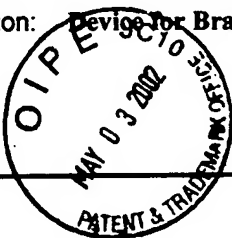
Serial No.
10/068,469

Filing Date
February 6, 2002

Examiner
not known

Group Art Unit
not known

Invention: ~~Device for Braking a Motor Shaft~~



COPY OF PAPERS
ORIGINALLY FILED

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

- | | |
|---|----------|
| <input checked="" type="checkbox"/> Utility application filing fee | \$370.00 |
| <input type="checkbox"/> Design application filing fee | |
| <input checked="" type="checkbox"/> Total number of independent claims = 1 | |
| <input checked="" type="checkbox"/> Total number of claims = 9 | |
| <input type="checkbox"/> Multiple dependent claims | |
| <input checked="" type="checkbox"/> Surcharge for late payment of filing fee and/or late filing of original declaration or oath | \$65.00 |
| <input type="checkbox"/> Petition and fee for filing by other than all the inventors or a person not the inventor | |
| <input type="checkbox"/> Fee for processing an application filed with a non-English language specification | |
| <input type="checkbox"/> Fee for processing and retention of application | |

Total completion of application fees \$435.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due \$435.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
H&U109

In Re Application Of: **Ziegs, Carsten**

**COPY OF PAPERS
ORIGINALLY FILED**

Serial No.
10/068,469

Filing Date
February 6, 2002

Examiner
not known

Group Art Unit
not known

Invention: **Device for Braking a Motor Shaft**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$475.00** is to be paid as follows:

- ☐ A check in the amount of the fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **500867**
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **500867**
A duplicate copy of this sheet is enclosed.



Signature

Dated: **April 23, 2002**

**Marlana Titus, Reg. No. 35,843
Nash & Titus, LLC
3415 Brookeville Road
Suite 1000
Brookeville, MD 20833
(301) 924-9600**

I certify that this document and fee is being deposited
on **April 23, 2002** with the U.S. Postal Service as
first class mail under 37 C.F.R. 1.8 and is addressed to the
Assistant Commissioner for Patents, Washington, D.C.
20231.



Signature of Person Mailing Correspondence

Marlana Titus

Typed or Printed Name of Person Mailing Correspondence

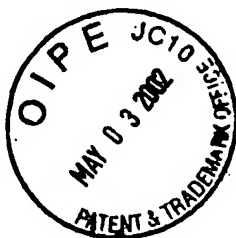
CC:

COPY OF PAPERS
ORIGINALLY FILED

UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST-NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/068,469	02/06/2002	Carsten Ziegs	H&U109

Nash & Titus, LLC
Suite 1000
3415 Brookeville Rd.
Brookeville, MD 20833

CONFIRMATION NO. 8908

FORMALITIES LETTER



OC00000000757745

Date Mailed: 03/04/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 435.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/07/2002 BNGUYEN1 00000005 500867 10068469

01 FC:201 370.00 CH
02 FC:205 65.00 CH